

Customer No. 28880

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PTO/SB/21 (03-03)

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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 10/828,488 |
| | Filing Date | April 20, 2004 |
| | First Named Inventor | Lorenz, et al |
| | Art Unit | Unknown |
| | Examiner Name | Unknown |
| Total Number of Pages in This Submission | Attorney Docket Number | PC 25825A |

| ENCLOSURES (Check all that apply) | | |
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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to a Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--------------------------|
| Firm or Individual | Francis J. Tinney |
| Signature | <i>Francis J. Tinney</i> |
| Date | JUN 11, 2004 |

| CERTIFICATE OF TRANSMISSION/MAILING | | |
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| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date <u>6-11-2004</u> | | |
| Typed or printed | Nancy Dernbach | |
| Signature | <i>Nancy Dernbach</i> | Date <u>6-11-2004</u> |

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USPTO Fax No. 703-872-9306



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/828,488
Applicant: Douglas Lorenz, et al
Filed: April 20, 2004
TC/A.U.: Unknown
Examiner: (C) Unknown
Docket No.: PC25825A
Customer No.: 28880
Title: PROCESS FOR FORMING AMORPHOUS ATORVASTATIN

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Forms PTO/SB/08A and PTO/SB/08B are brought to the attention of the Examiner.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d); or before the mailing of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Forms PTO/SB/08A and PTO/SB/08B is provided, except for United States Patents and United States Patent Application Publications.

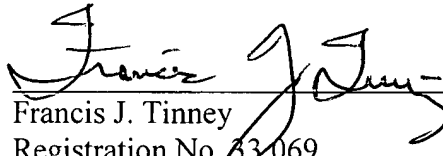
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. § 102 and 103, and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art".

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Forms PTO/SB/08A and PTO/SB/08B, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

It is understood by the Applicants that this paper requires no fee, however, authorization is given to charge any necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Dated: 6-11-2004

Respectfully submitted,


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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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| | |
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| Application Number | 10/828,488 |
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| Art Unit | Unknown |
| Examiner Name | Unknown |
| Attorney Docket Number | PC25825A |

Sheet 1 of 4

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
| | | US- 4,681,893 | 07/21/1987 | Roth | |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | | WO 01/36384 A1 | 05/25/2001 | Ayalon, et al | | |
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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| Art Unit | Unknown |
| Examiner Name | Unknown |
| Attorney Docket Number | PC25825A |

Sheet **2** of **4****U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
| | | Number-Kind Code ² (if known) | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
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| | | First Named Inventor | Lorenz, et al |
| | | Art Unit | Unknown |
| | | Examiner Name | Unknown |
| Sheet 4 | of 4 | Attorney Docket Number | PC25825A |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| Examiner Signature | | Date Considered | |
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